MATERIAL REVIEWED AT CIA HEADQUARTERS BY

HOUSE SELECT COMMITTEE ON ASSASSINATIONS STAFF MEMBERS

FILE TITLE/NUMBER/VOLUME: Susan Heiligman FRANK

201-191098 (Vol. I)

INCLUSIVE DATES: 1958-63

CUSTODIAL UNIT/LOCATION:

ROOM:

DELETIONS, IF ANY: Third Agency Documents

DATE RECEIVED	DATE RETURNED	REVIEWED BY (PRINT NAME)	SIGNATURE OF REVIEWING OFFICIAL
3/14/78	3/17/78	Betsy Wolf	Betsy Wolf
3 May 78		BETSY WOLF	Betsy Wolf
18 MAY 78		JOHANNA SMITH	Johanna Smith

NO DOCUMENTS MAY BE COPIED OR REMOVED FROM THIS FILE.

SUSAN HEILIGMAN FRANK, 201-191098 (VOL 1 of 4)

① BUREAU FILE 100-339235
② NY FILE 100-42479
③ BUREAU FILE 100-401762
④ NY FILE 100-107383

3rd AGENCY DOCUMENTS

FBI	NY	11/22/63	RE SUBJECT	NO FILE
FBI	NY	11/21/63	" "	" "
FBI	HQS	10/18/63	RE RICHARD C.	FILE (NI) 100-401762
FBI	NY	10/14/63	RE SUBJECT	FILES # 1 + 2
FBI	NY	10/8/63	RE RICHARD C.	NO FILE
FBI	NY	10/6/63	" " "	" "
FBI	NY	10/2/63	" " "	" "
FBI	HQS	9/23/63	RE SUBJECT	" "
FBI	NY	9/18/63	" "	" "
FBI	HQS	9/17/63	RE RICHARD C.	FILE # (NI) 100-401762
FBI	NY	9/12/63	RE SUBJECT	NO FILE
FBI	NY	9/12/63	" "	" "
FBI	NY	9/12/63	" "	" "
FBI	NY	9/10/63	" "	" "
FBI	NY	8/30/63	" "	" "
FBI	NY	7/2/63	" "	FF 42479
FBI	NY	6/26/63	RE RICHARD C.	FILES 3 + 4
FBI	NY	7/2/62	" " "	" 3 + 4
FBI	NY	7/2/62	" SUBJECT	FILES 1 + 2
FBI	NY	6/16/61	RE RICHARD C.	FILES 3 + 4
FBI	NY	6/16/61	RE SUBJECT	FILES 1 + 2
FBI	NY	11/25/60	" "	" "
FBI	NY	6/17/60	RE RICHARD C.	FILES 3 + 4
FBI	HQS	2/11/60	RE BOTH	FILES 1 + 3
FBI	HQS	1/26/60	RE SUBJECT	NO FILE
FBI	NY	11/25/59	" "	FILES 1 + 2
FBI	NY	6/19/59	RE RICHARD C.	FILES 3 + 4
FBI	NY	11/26/58	RE SUBJECT	FILES 1 + 2
FBI	NY	11/26/58	RE RICHARD C.	FILE # 3
FBI	NY	4/25/58	" " "	" 3 + 4
FBI	NY	11/7/55	RE SUBJECT	FILES # 1 + 2
FBI	NY	11/27/56	" "	" "
FBI	SAN FRAN.	7/14/55	RE FREDERICK FIELD	FILED # 100.2278 (BUREAU)
FBI	NY	1/7/55	" " "	" " "
FBI	NY	2/20/62	RE COMMITTEE FOR A DEMOCRATIC FAR EASTERN POLICY	100-3 01

14-00000

FILE CONTENT LIST OF FILE NO. 201-0191098

REF CODE*	DOCUMENT DATE	DOCUMENT SYMB. AND NUM.	ABST. TYPE	ORIG. ROUTING	CS COPY FILED IN	SERIAL NUMBER AND/OR SUBJECT
A	/ /		IN			
A	10/07/57	DFB-87905	IN			
A	10/08/57	DFB-87904	IN			
A	26/11/58	CBF-14398	AO	IP		RICHARD CYRIL FRANK SECURITY MATTER-C
A	30/12/59	ESEA-08833	IN			
C	12/04/60	CBF-46377	IN	IP	100-008-017	TWO CONTINENTS COMMODITY CORPORATION.
A	02/07/62	CBA-14583	AO	CI		1 SUSAN HEILIGMAN FRANK
A	02/07/62	CBA-14582	IN	CI		1 RICHARD CYRIL FRANK
A	26/06/63	CBA-44692	AO	CI/LS		1 RICHARD CYRIL FRANK SECURITY MATTER-C
A	02/07/63	CBA-45538	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	30/08/63	DBA-52730	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	10/09/63	CBA-50303	AO	CI/LS		1 SUSAN HEILIGMAN FRANK, AKA SUSAN WARREN
A	12/09/63	CBA-50326	AO	CI/LS		1 SUSAN HEILIGMAN FRANK INTERNAL SECURITY-CH
A	12/09/63	CBA-50385	AO	CI/LS		1 SUSAN HEILIGMAN FRANK AKA, SUSAN WARREN
A	12/09/63	CBA-51511	AO	CI/LS		1 SUSAN HEILIGMAN FRANK INTERNAL SECURITY-CHINA
A	17/09/63	CBA-51120	AO	CI/LS		3 RICHARD CYRIL FRANK-INTERNAL SECURITY-CHINA
A	18/09/63	CBA-52729	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	23/09/63	CBA-51738	AO	CI/LS		1 SUSAN HEILIGMAN FRANK INTERNAL SECURITY-CH
A	02/10/63	CBA-52667	AO	CI/LS		1 RICHARD CYRIL FRANK
A	06/10/63	CBA-53125	AO	CI/LS		1 RICHARD CYRIL FRANK
A	08/10/63	DBA-54007	AO	CI/LS		1 RICHARD CYRIL FRANK
A	14/10/63	CBA-54296	AO	CI/LS		1 SUSAN HEILIGMAN FRANK-INTERNAL SECURITY CHINA
A	18/10/63	DBA-54106	AO	CI/LS		3 RICHARD CYRIL FRANK-INTERNAL SECURITY CHINA
A	21/11/63	CBA-57428	AO	CI/LS		1 SUSAN HEILINGMAN FRANK
A	22/11/63	CBA-57240	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	27/01/64	CBA-62478	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	26/02/64	CBA-65006	AO	CI/LS		1 YUNG KOON-MAN
A	03/03/64	CBA-65744	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	04/03/64	CBA-65510	AC	CI/LS		1 SUSAN HEILIGMAN FRANK
A	05/03/64	CSCI-3780320	AO	IP		1. CAIC
A	16/03/64	CBA-66277	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	18/03/64	CBA-68817	AO	CI/LS		1 RICHARD CYRIL FRANK
A	19/03/64	CBA-66206	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	19/03/64	CBA-65690	AO	CI/LS		3 SUSAN HEILIGMAN FRANK
A	26/03/64	CBA-66540	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	03/04/64	CBA-68370	AO	CI/LS		1 YUNG KOON-MAN
A	04/05/64	CBA-72041	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	20/05/64	CBA-71934	AO	CI/LS		1 RICHARD CYRIL FRANK
A	25/05/64	CBA-72034	AO	CI/LS		1 RICHARD CYRIL FRANK
A	09/06/64	CBA-74309	AO	CI/LS		1 IVAN GERALD SHAPIRO
C	11/06/64	DBA-74845	AC	IP	201-0001042	1-FREDERICK VANDERBILT FIELD
A	31/07/64	CBA-80360	AO	IP		RICHARD CYRIL FRANK WAS 100-500

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FILE CONTENT LIST OF FILE NO. 201-0191098

REF CODE*	DOCUMENT DATE	DOCUMENT SYMB. AND NUM.	ABST. TYPE	ORIG. ROUTING	CS COPY FILED IN	SERIAL NUMBER AND/OR SUBJECT
A	10/09/64	CBA-83347	AO	CI/LS		1 RICHARD CYRIL FRANK
A	11/09/64	CBA-84176	AO	CI/LS		1 RICHARD CYRIL FRANK
A	22/09/64	CBA-84318	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	24/09/64	CBA-84546	AO	CI/LS		3 SUSAN HEILIGMAN FRANK
A	12/10/64	CSMW-09477	AC	IP		ODENVY REQUEST TO SHOW ULTIMATE SOURCE OF TDCS-314/02323-64
						PHOTO OF POSS PBPRIME REP AT THE AUG PRO-CHICOM MEETING IN ALBANIA
A	13/10/64	316-0157864	AO	IP		SUSAN HEILIGMAN FRANK, INTERNAL SECURITY CHINA
A	20/10/64	CBA-88516	AO	IP		RICHARD CYRIL FRANK WAS 201-278559
A	04/11/64	CBA-89646	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	25/11/64	MACR-02378	AO			
A	02/12/64	316-0233764	AO	IP/FI		SUSAN HEILIGMAN FRANK INTERNAL SECURITY-CHINA WAS 201-019198
C	27/01/65	NYDC-00394	AC		200-120-087	
A	10/02/65	CBA-98698	AO	CI/LS		1 RICHARD CYRIL FRANK
A	12/02/65	CBA-98227	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	09/03/65	UNCW-00325	AO	IP		KOBIRO LCHARVEST
A	19/04/65	CIRW-23914	AO	IP		ODENVY REPORTS ON SUSAN HEILIGMAN FRANK AND RICHARD CYRIL FRANK
A	28/04/65	CIRA-40214	AO	WE/4		ODENVY REPORTS ON SUSAN HEILIGMAN FRANK AND RICHARD CYRIL FRANK WAS 201-040214
C	28/05/65	CBB-09540	AC	CI/LS	201-0017638	3 LEO HUBERMAN INTERNAL SECURITY-CHINA.
C	08/06/65	CBB-11297	AC	CI/LS	201-0107029	1 IVAN GERALD SHAPIRO 201-107029.
A	16/06/65	CBB-11757	AO	CI/LS		0 SUSAN HEILIGMAN FRANK RICHARD C. FRANK LEO HUBERMAN
A	25/06/65	CBB-13700	AO	CI/LS		SUSAN HEILIGMAN FRGNK
A	28/06/65	CBB-13658	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	01/12/65	CBB-32064	AO	CI/LS		RICHARD CYRIL FRANK
A	14/01/66	DBB-35009	AO	CI/LS		SUSAN HEILIGMAN FRANK-IS-CHINA
A	25/01/66	CBB-36631	AO	CI/LS		SUSAN FEILIGMAN FRANK
A	03/02/66	CBB-37188	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	03/02/66	CBB-38170	AO	CI/LS		SUSAN HEILIGMAN FRANK
C	18/02/66	CBB-39888	AC	CI/LS	201-0046209	MORRIS ROBERT WILLS.
A	08/03/66	316-0105966	AO	IP		SUSAN HEILIGMAN FRANK
A	28/03/66	DBB-43161	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	29/03/66	CBB-43444	AO	CI/LS		SUSAN HEILIGMAN FRANK
C	09/05/66	CBB-47822	AC	CI/LS	201-0771141	RUTH SHULMAN
C	07/06/66	CBB-50389	AC	CI/LS	201-0107029	IVAN GERALD SHAPIRO
A	27/06/66	CBB-51514	AO	CI/LS		RICHARD CYRIL FRANK
A	19/07/66	CBB-54475	AO	CI/LS		RICHARD CYRIL FRANK
A	14/09/66	CBB-59986	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	29/09/66	CBB-60663	AO	CI/LS		PROGRESSIVE LABOR PARTY /PLP/
A	13/10/66	DBB-62355	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	13/12/66	CBB-65707	AO	CI/LS		RICHARD C. FRANK SUSAN H. FRANK
D	17/12/66	PARI-01051	AC		029-002-004	

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A	13/01/67	CBB-70124	AO	CI/LS		RICHARD CYRIL FRANK
A	27/01/67	DBB-71826	AO	CI/LS		SUSAN HEILIGMAN FRANK INTERNAL SECURITY-CHINA
C	10/03/67	CBB-76693	AC	CI/LS	201-0315837	NORMAN VICTOR SHULMAN
[illegible]	03/04/67	CBA-80250	AO	TSCO		TS-195669 I RICHARD CYRIL FRANK
A	04/08/67	DBB-89841	IN	CI/LS		RICHARD CYRIL FRANK INTERNAL SECURITY-CHINA
A	30/11/67	CBC-01102	AC	CI/LS		RICHARD CYRIL FRANK
A	01/03/68	DBC-09794	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	03/04/68	CBC-12127	AO	CI/LS		SUSAN HEILIGMAN FRANK
C	30/04/68	CBC-17078	AC	CIOP/D	201-0728979	MAUD MURIEL RUSSELL
C	04/06/68	CBC-12016	IN	TSCO	016-005-013	TS-197328 CHINESE COMMUNIST INTELL BIO DATA ALBUM
A	12/06/68	CBC-18988	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	01/07/68	CBC-20859	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	23/07/68	CBC-22931	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	01/08/68	CBC-24440	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	06/08/68	CBC-25112	AO	CI/LS		RICHARD CYRIL FRANK
A	19/02/69	CBC-44884	AO	CI/LS		SUSAN HEILIGMAN FRANK. RICHARD CYRIL FRANK
A	28/03/69	DBC-50149	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	23/08/69	CBC-60987	AO	CI/LS		RICHARD CYRIL FRANK
A	03/10/69	CBC-64586	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	16/04/70	CBC-73990	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	27/08/70	CBC-91971	AO	CI/LS		SUSAN HEILIGMAN FRANK INTERNAL SECURITY-CHINA
A	21/09/70	DBC-94819	AO	CI/LS		RICHARD CYRIL FRANK
A	17/11/70	CBC-99426	AC	CI/LS		SUSAN HEILIGMAN FRANK
A	09/09/71	DBD-22103	AO	CI/LS		SUSAN HEILIGMAN FRANK
C	23/09/71	CBD-22955	AC	TSCO	201-0208523	TS-201555 JAMES LEE
A	08/10/71	CBC-23871	AO	CI/LS		RICHARD CYRIL FRANK
A	14/12/71	CCOA-16515	AC	CH/SECT		KOBIRD/CO SMABOVE-CONTACT WITH CHINESE EMBASSY OFFICIALS IN CANADA
A	22/12/71	DBC-29006	AC	CI/LS		RICHARD CYRIL FRANK
B	14/01/72	CCOA-16612	AC	CH/SECT	014-008-008	KOBIRD/CO SMABOVE-SUSAN WARREN
A	21/01/72	CBC-30450	AC	CI/LS		SUSAN HEILIGMAN FRANK
C	17/03/72	CBD-33673	IN	CI/LS	201-0000993	TANG MING-CHAO /201-000993/
C	11/04/72	DBD-22961	AC	TSCO	201-0208523	TS-201596 ANN LEE
A	28/04/72	DBC-36558	AC	CI/LS		FRANK, RICHARD C
A	05/09/72	DBC-42084	AO	CI/LS		FRANK, SUSAN HEILIGMAN
A	16/11/72	CBD-46438	AC	CI/LS		FRANK, SUSAN HEILIGMAN
A	21/02/73	CBD-51366	AO	CI/LS		FRANK, SUSAN HEILIGMAN
A	08/06/73	CBD-57181	AO	CI/LS		FRANK, SUSAN HEILIGMAN NEE WARREN
C	20/07/73	DBD-60693	IN	OPS/LSN	100-300-091	COMMITTEE FOR UNIFICATION OF CHIN, AKA AD HOC COMMITTEE FOR PEACEFUL UNIFICATION OF CHIN 722 N. CAROLINA S.E., WASH, DC, THE WASHINGTON AND BALTIMORE COMMITTEE FOR UNIFICATION OF CHIN, COMMITTEE FOR CHIN REUNIFICATION
C	10/08/73	CCOA-17926	IN	CH/OCCR	016-120-041/01	CONTACTS WITH PRC OFFICIALS IN CANADA

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FILE CONTENT LIST OF FILE NO. 201-C191098

REF CODE*	DOCUMENT DATE	DOCUMENT SYMB. AND NUM.	ABST. TYPE	ORIG. ROUTING	CS COPY FILED IN	SERIAL NUMBER AND/OR SUBJECT
C	14/08/73	CCOA-17953	IN	IP/FI	016-120-041/01	CONTACTS WITH PRC OFFICIALS IN CANADA
A	12/09/73	DBD-61748	AO	OPS/ITG		FRANK, SUSAN HEILIGMAN

ABSTRACTS LISTED = 122

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C-O-N-F-I-D-E-N-T-I-A-L
When Filled In

SECRET

15 JAN 1976
(date)

Review of 201 File on U.S. Citizen

In accordance with the DDO's notice of 9 December 1974, I have reviewed the 201 file on FRANK, SUSAN (surname) (201 number indicated below), and have determined that it can most accurately be categorized as indicated below:

[ ] should be closed.

[ ] witting collaborator. OI Code A1.

[ ] potential witting collaborator; date opened ________ OI Code A2.

[ ] former witting collaborator (relationship terminated). OI Code A3.

[ ] potential witting collaborator never contacted (security reasons, derogatory information). OI Code A4.

[ ] counterintelligence case (i.e., involving a foreign intelligence or security service). OI Code A5.

[✓] all others. OI Code A6.

Signed M C Hart (name) ________ (title) OPS/ITG (component)

This assignment of category has been entered into STAR.

Signed [initials] (initials) 19 JAN 1976 (date) 197__

This document is a permanent part of this file.

201-191098

SECRET

E2 IMPDET
CL BY 054979

When Filled In
C-O-N-F-I-D-E-N-T-I-A-L

14-00000

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ROUTING AND RECORD SHEET

SUBJECT: (Optional)

FROM:		EXTENSION	NO.
SAS/CI/L Demos	GH43	6471	DATE: 3 March 1964

TO: (Officer designation, room number, and building)	DATE RECEIVED	DATE FORWARDED	OFFICER'S INITIALS	COMMENTS (Number each comment to show from whom to whom. Draw a line across column after each comment.)
1. C/SAS/CI	3 Mar		uk	
2.				
3. CI/LIAISON	4 MAR 1964			
4.				
5. RID/AN				
6. RID/MIS				
7. RID/FI				
8.				
9.				
10.				
11.				201-191098
12.				DATE 5 MAR 1964
13.				
14.				
15.				

FORM 610 USE PREVIOUS EDITIONS [X] SECRET / CONFIDENTIAL / INTERNAL USE ONLY / UNCLASSIFIED

SECRET

5 MAR 1964

TO : Director
Federal Bureau of Investigation
Attention: Mr. S. J. Papich

FROM : Deputy Director for Plans

SUBJECT : I. CAIC

1. Reference is made to the Bureau's memorandum (NI) 100-401762 of 18 October 1963, subject: Richard Cyril FRANK, page 3 of the attachment.

2. The records of this Agency reveal no identifiable information on I. CAIC.

CSCI-3/780,320
3 March 1964
SAS/CI/LDemos:pap
Distribution:
Orig. & 1 - Addressee
1 - RID
1 - CI/LIA
1 - CI/R&A
1 - SAS/R
2 - SAS/CI

Based On: DBA-54106
DBA-54007
18 Oct 63

File No.: 201-191098

SECRET

GROUP 1 Excluded from automatic downgrading and declassification

201-191098

14-00000

SECRET
(When Filled In)

ROUTING AND RECORD SHEET

FROM:

Eugene J. Welch - EE/Switzerland

TO	ROOM NO.	DATE RECEIVED	DATE FORWARDED	OFFICER'S INITIALS	COMMENTS
1. C/EE/S		25 MAY 1960			
2.					
3.					
4.					
5. Fe/ch/P-	X-3622				PERMANENT CHARGE FOR YOUR RETENTION
6.					
7.					
8.					
9.					
10.					
11.					
12.					
13.					
14. RID/DP					
15. EE/S					
16.					
17. RI/AI RI/FI					FRANK, Susan
18.					

RECORD COPY

COORDINATING OFFICERS NAME	OFFICE	AUTHENTICATING OFFICER NAME	TITLE
		Eugene J. Welch	EE/S
		RELEASING OFFICER NAME	TITLE
		Robert W. Crowell	C/EE/S

RID USE ONLY

ENCLOSURE	ABSTRACT	FILE NUMBER	
	INDEX	201-191098	
DATE MICROFILMED		DOCUMENT DATE	DOCUMENT NUMBER
		25 MAY 1960	EGSW 8468

14-00000

DISPATCH

CLASSIFICATION: SECRET

DISPATCH SYMBOL AND NO: ESBW 8468

TO: Chief of Station, Bern

INFO:

HEADQUARTERS FILE NO.: 201-191098

FROM: Chief, EE

DATE: 25 MAY 1960

SUBJECT: General - Operational/INK/LCHARVEST
Specific - Susan and Richard FRANK

RE: "43" - (CHECK "X" ONE)
MARKED FOR INDEXING
X NO INDEXING REQUIRED
INDEXING CAN BE JUDGED BY QUALIFIED HQ. DESK ONLY

ACTION REQUIRED

REFERENCE(S): ESBW 8273 dated 8 February 1960 (Information on FRANK travel plans plus background info)

1. The ODENVY approval for passage of information on Subjects to the INANITIONs was received in February, but, apparently through an inadvertence, was retained an inordinately long time in KUDESK. It has just now reached our hands.

2. ODENVY has approved the following for passage to the INANITIONs:

"Susan FRANK, nee Heiligman, wife of Richard C. FRANK of New York City, has for several years been active in Communist front organizations in the United States, has taught courses in such schools as the Jefferson School of Social Science, which appears on the Attorney General's list, and her writings have appeared in such magazines as "Political Affairs", which has been listed in the "Guide to Subversive Organizations and Publications", and described as an official Communist Party theoretical organ. Susan FRANK appeared before the House Committee on Un-American Activities on 22 July 1959, and declined under "Privileges under the Fifth Amendment" to answer the Committee's questions as to whether or not she was a member of the Communist Party, had written an article which appeared in the November 1949 issue of "The Worker" entitled "No Peace for the Chinese People", had ever lectured on Chinese culture and Chinese civilization and had been an instructor at the Faculty of Social Sciences. Susan FRANK had used the name Susan Warren in her lectures and writings, most of which indicate her interest in Chinese affairs.

"Richard C. FRANK, born 22 August 1922, has described his occupation as a free lance moving picture assistent director. He is known to have received Communist literature, is believed to have made financial contributions to the Communist Party in the U.S., and there is information to indicated Communist Party membership on his part as late as 1957."

3. ODENVY states that they have referred the case of the FRANKs to the Legal Attaches in London, Paris and Tokyo to alert appropriate security

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201-191098

FORM 10-57 53 (40) USE PREVIOUS EDITION.

SECRET

X CONTINUED

services concerning possible travel of the FRANKs in England, France, and Japan. Should the IBARITIONs be able to furnish any additional info concerning travel of Subjects, it is requested that you communicate it to the appropriate KUBARK station for passage to the Legal Attache, and info Hqs. This is still pertinent for - although Subjects would by this time probably be in China if they did in fact intend to go there - they may possibly return to the U.S. via Prague, with a break of a month or so for travel in Western Europe. According to what is known of their plans, they do not intend to return to the U.S. before August or September.

[illegible]

[illegible] May 1960

Distribution:
3 - COS, Bern
2 - EE/S
1 - RI

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201-191098

EBSW 8468

14-00000

SECRET

(When Filled In)

ROUTING AND RECORD SHEET

FROM:

James B. Burson - EE/Switzerland

TO	ROOM NO.	DATE RECEIVED	DATE FORWARDED	OFFICER'S INITIALS	COMMENTS
1. C/EE/S	FEB 1960	4	FEB 1960	[illegible]	
2.					
3. FE/CA/PENCE		FEB 1960	5 FEB 1960	[illegible]	Please Coordinate
4. CFE/CA			[illegible]	[illegible]	
5. FE/[illegible] RI/DP		18 FEB 1960	FEB 1960	[illegible]	
6.					
7.					
8.					
9.					
10.					
11.					
12.					
13.					
14.					
15.					
16. RI/AN				JL	
17. RI/FI					
18.					° FRANK, SUSAN

RECORD COPY

COORDINATING OFFICERS NAME	OFFICE
[signature]	C/FE/CA/[redacted]

AUTHENTICATING OFFICER NAME	TITLE
[signature] James B. Burson	EE/S

RELEASING OFFICER NAME	TITLE
[signature] Robert W. Crowell	C/EE/S

ENCLOSURE	ABSTRACT	FILE NUMBER
	INDEX	201-191098

DATE MICROFILMED	DOCUMENT DATE	DOCUMENT NUMBER
	8 FEB 1960	EGBW 8273

14-00000

21

DISPATCH

CLASSIFICATION SECRET

DISPATCH SYMBOL AND NO. ESBW 8273

HEADQUARTERS FILE NO. 201-191098

DATE 8 FEB 1960

TO Chief of Station, Bern

INFO

FROM Chief, EE

SUBJECT General - Operational/LCHARVEST
Specific - Susan and Richard Frank

ACTION REQUIRED

RE: "43" - (CHECK "X" ONE)
MARKED FOR INDEXING
X NO INDEXING REQUIRED
INDEXING CAN BE JUDGED BY QUALIFIED HQ. DESK ONLY

REFERENCE(S)

ESBA 8833 dated 30 December 1959

1. The information provided in reference was most timely in its arrival. Shortly after we had received it, ODENVY informed us that the Frank couple was traveling abroad, and that in view of Susan Frank's interest in Far Eastern affairs and Chinese history it was not unlikely she and her husband would attempt to travel to China before returning to the U.S. ODENVY asked for any information we might receive which would indicate Subjects had traveled to China. We have passed the gist of the information in reference to ODENVY. We shall appreciate receiving any additional information on the activities of Subjects which you may be able to uncover utilizing liaison as you see fit. FYI: Susan Frank has indicated she does not expect to return to the States until August or September, and most likely the latter month.

2. The material in Headquarters' file on the Franks, all of which was supplied by ODENVY, indicates they are active members of the Communist Party in the U.S. Upon receipt of ODENVY's approval to our request to pass a summary of this material to our official source of reference we shall forward the summary to you for passage to the IKANYTIONS. Meanwhile, the following, most of which was supplied by informants rated as having provided reliable information in the past, is forwarded for your information:

a. Susan Frank, nee Heiligmann, born 8 November 1913 of Russian parentage, resides with her second husband, Richard Cyril Frank, at 110 Christopher Street, New York City. There are reports as early as 1942 providing information on Susan Frank's activities in behalf of Communism, all of which refer to her as Susan or Sue Warren, the alias she has commonly used in her Communist activities and in articles she has written. One example of her activities in 1942 refers to an application for a permit to operate a public address system at street meetings in New York City. The application bore the signature of Sue Warren, and listed her as Chairman of the Communist Party at 132 East 26th Street, New York City. Since 1942 there have been numerous informant reports of Sue Warren's active participation in Communist affairs in the U.S., the most recent describing her as a member of the Greenwich Village Section, NY County CP as of October 1959. Frank has given her present occupation as writer and lecturer, and has taught at the Faculty of Social Sciences and Jefferson School of Social Sciences, conducting courses entitled, "The New China", "The Chinese Communes", "World of Socialism", "On the Correct Handling of Contradictions (Mao)", etc. The Faculty of Social Sciences was apparently established by Max Weiss, who was referred to in the April 1956 edition of the "Daily Worker" as "National Educational Director, Communist Party". The Jefferson School of Social Sciences has been designated by the Attorney General.

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201-191098

FORM 10-57 53 USE PREVIOUS EDITION. REPLACES FORMS 51-28, 51-28A AND 51-29 WHICH ARE OBSOLETE.

SECRET

X CONTINUED

On 22 July 1959 Susan Frank appeared before the House Committee on UN-American Activities, and refused under provisions of the Fifth Amendment to answer questions as to whether she had ever lectured on Chinese culture and Chinese civilization, had been an instructor at the Jefferson School of Social Sciences, was the author of an article in the 13 November 1949 issue of "The Worker" entitled, "No Peace for the Chinese People", and was "at this time" a member of the CP.

b. Richard Cyril Frank was born 22 August 1922 in Rochester, New York. He has given his profession as film technician and has worked in various directing and production jobs in television. Indications of Richard Frank's CP membership and activities in behalf of the CP are:

1. In June 1956 Sue Warren (Frank) stated at a Greenwich Village CP meeting that she could not contribute the $75.00 she had previously pledged because her husband (Richard Frank) had given $100.00 in "his club", and they had planned to contribute together only $150.00.

2. Frank was reported to have been seen on 1 February 1954 at a meeting of the New York Council of Arts, Sciences and Professions, which has been cited as a Communist front organization.

3. Frank has written letters in behalf of persons on trial for their Communist activities, has provided storage in the basement of his residence for cartons containing volumes of Communist literature, has received through the mail CP literature from Czechoslovakia, and has been a recipient through the mail of the "Daily Worker".

4. He has refused to speak to agents of ODENVY about his activities.

[illegible] O. [illegible]

3 February 1960

Distribution:
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2 - EE/S
1 - RI ✓
1 - FE/CA/PERCE

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EGBW 8273

14-00000

SECRET

2 FEB 1960

TO: Director
Federal Bureau of Investigation
Attention: Mr. S. J. Papich

FROM: Deputy Director (Plans)

SUBJECT: Susan and Richard Frank

1. In response to your 22 December communication (File No: 100-339235, 100-401762) we wish to inform you that we have received the following from an official Swiss source:

"Richard Frank (born 1922?), U.S. citizen, film technician, resident of New York, arrived at the Hotel Savoy in Bern with his wife Susan on 10 December 1959; they stayed there until 12 December leaving for Zurich. Frank inquired for a flight ticket to Prague from Zurich. While in Bern, Frank had contact with the Chinese Communist Embassy on two occasions; he or Mrs. Frank having called at the Embassy. No information is available on the nature of these contacts, nor do we have more detailed personalia."

2. We have observed that persons desiring to travel to Communist China from Switzerland frequently fly via Prague.

3. The following summary has been drawn from material which you have provided us on Subjects (see NY 100-43479, NY 100-107383 and Bureau 100-339235). Please advise if we may pass this summary to our Swiss source, but without revealing that your organization supplied the information contained in it:

SECRET

Susan Frank, nee Heiligman, wife of Richard C. Frank of New York City, has for several years been active in Communist front organizations in the United States, has taught courses in such schools as the Jefferson School of Social Science, which appears on the Attorney General's list, and her writings have appeared in such magazines as, "Political Affairs", which has been listed in the "Guide to Subversive Organizations and Publications", and described as an official Communist Party theoretical organ. Susan Frank appeared before the House Committee on Un-American Activities on 22 July 1959, and declined under "Privileges under the Fifth Amendment" to answer the Committee's questions as to whether or not she was a member of the Communist Party, had written an article which appeared in the November 1949 issue of "The Worker" entitled, "No Peace for the Chinese People", had ever lectured on Chinese culture and Chinese civilization, and had been an instructor at the Faculty of Social Sciences. Susan Frank has used the name Susan Warren in her lectures and writings most of which indicate her interest in Chinese affairs.

Richard C. Frank, born 22 August 1922, has described his occupation as a free lance moving picture assistant director. He is known to have received Communist literature, is believed to have made financial contributions to the Communist Party in the U.S., and there is evidence to indicate he is a member of this party.

OSCI-3/758,444

Distribution:
Orig &#1 - Addressee
1 - ~~C/FI~~ /TID
1 - CI/LS
1 - CI/R&A
2 - EE/S ✓

EE/S:James B. Burson:jbs:26 January 1960

File 201 - Frank, Susan

SECRET

14-00000

DISPATCH

CLASSIFICATION: S-E-C-R-E-T

DISPATCH SYMBOL AND NO: ESBA-8833

HEADQUARTERS FILE NO: 68-3-8

1P

TO	Chief, FE
XXX VIA	Chief, EE
FROM	Chief of Station, Bern
DATE	30 December 1959
SUBJECT	LCHARVEST/Operations FRANK, Richard (201-) (DOB 1922)
ACTION REQUIRED	Trace request
REFERENCE(S)	

RE: "43-3" – (CHECK "X" ONE)

X MARKED FOR INDEXING

NO INDEXING REQUIRED

INDEXING CAN BE JUDGED BY QUALIFIED HQ. DESK ONLY

1. The following report, dated 24 December 1959, was given to us by INANITION: Trace Request CIT: USA

Richard *FRANK (born 1922) U.S. citizen, film technician, resident of New York, arrived at the Hotel Savoy in Bern with his wife Susan on 10 December 1959; they stayed there until 12 December, leaving for Zurich. FRANK inquired for a flight ticket to Prague from Zurich. While in Bern, FRANK had contact with the Chinese Communist Embassy on two occasions; he or Mrs. FRANK having called at the Embassy. No information is available on the nature of these contacts, nor do we have more detailed personalia.

A N.Y., N.Y., USA
Subject is the husband of Helligman Susan Frank (201-191098)

2. We would appreciate any available traces in Headquarters' files on FRANK.

MICROFILMED AUG 13 1963 DOC. MICRO. SER.

Hilda G. Vagionas
HILDA G. VAGIONAS

30 December 1959

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2 - Bern

INDEX

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ESBA-8833

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CLASSIFICATION: S-E-C-R-E-T

CONTINUED

PAGE NO

SECRET

201 PERSONALITY FILE ACTION REQUEST

NOTE: SEE INSTRUCTIONS ON REVERSE SIDE TYPE OR PRINT

TO: RID/201 Section Room GA-20 Tube Address DW-6 | DATE

FROM: Name | OFFICE | ROOM | PHONE | TUBE ADDRESS

1. TYPE ACTION: OPEN / AMEND / CLOSE
2. FILE RESTRICTED: YES / NO
3. PERMANENT CHARGE: YES / NO
4. TRUE NAME (Last) (First) (Middle)
5. 201 NO.
6. NAME VARIANTS AND TELECODES (see Instructions, item 6)

TYPE	(Last)	(First)	(Middle)
N2			
N2			
N2			
N2			
N2			
N2			

7. SEX M F
8. DATE OF BIRTH
9. COUNTRY OF BIRTH
10. CITY/TOWN OF BIRTH
11. CITIZENSHIP
12. OCCUPATION (clear text) OCC. CODE
13. SWL
14. OI CODE
15. DOCUMENT SYMBOL & NUMBER DATE
16. RESIDENCE
17. COMMENTS (text for Index cards)
18. COUNTRY OF LOCATION CODE
19. ACTION DESK CODE
20. SECONDARY DESK CODE
21. INFORMATION ADDRESSEES
22. CRYPTONYM

HAND CARRY OR FORWARD BY TUBE IF TRUE NAME APPEARS ABOVE.

23. SIGNATURE OF REQUESTER

201 NO.

FORM 831 6-69 OBSOLETE PREVIOUS EDITIONS SECRET GROUP 1 Excluded from automatic downgrading and declassification (38-81)

14-00000

SECRET

201 PERSONALITY FILE ACTION REQUEST

NOTE: SEE INSTRUCTIONS ON REVERSE SIDE TYPE OR PRINT

TO: RID/201 Section Room GA-20 Tube Address DW-6

DATE: [illegible]

FROM: Name [illegible] | OFFICE | ROOM | PHONE | TUBE ADDRESS [illegible]

1. TYPE ACTION		2. FILE RESTRICTED		3. PERMANENT CHARGE	
	OPEN		YES		YES
	AMEND		NO		NO
	CLOSE				

4. TRUE NAME (Last)	(First)	(Middle)	5. 201 NO.
FRANK,	Susan	[illegible] 01	[illegible] 02

6. NAME VARIANTS AND TELECODES (see Instructions, item 6)

TYPE	(Last)	(First)	(Middle)
A 02	[illegible], Fred (Mrs.)		
A 02	[illegible]BERG, Mildred M.		
A 02	[illegible]BERG, Louis (Mrs.)		
02			
02			
02			

7. SEX	8. DATE OF BIRTH	9. COUNTRY OF BIRTH	10. CITY/TOWN OF BIRTH
M F		01	01

11. CITIZENSHIP	12. OCCUPATION (clear text)	OCC. CODE	13. SWL	14. OI CODE
01	01	02	01	01

15. DOCUMENT SYMBOL & NUMBER	DATE	16 RESIDENCE
01	01	

17. COMMENTS (text for Index cards)

18. COUNTRY OF LOCATION	CODE	19. ACTION DESK	CODE	20. SECONDARY DESK	CODE
	01		01		01

21. INFORMATION ADDRESSEES

22. CRYPTONYM

HAND CARRY OR FORWARD BY TUBE IF TRUE NAME APPEARS ABOVE.

23. SIGNATURE OF REQUESTER | 201 NO.

FORM 9-65 831 OBSOLETE PREVIOUS EDITIONS

SECRET

(20-01)

14-00000

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PERSONALITY (201) FILE REQUEST

TO: RI/ANALYSIS SECTION

DATE: 29 Sept 1959

ACTION: OPEN / X AMEND / CLOSE

FROM: RID/201

ROOM NO.: 1028 L

TELEPHONE: 2231

INSTRUCTIONS: Form must be typed or printed in block letters.

SECTION I: List 201 number, name and identifying data in the spaces provided. All known aliases and variants (including maiden name, if applicable) must be listed. If the identifying data varies with the alias used, a separate form must be used. Write UNKNOWN for items you are unable to complete.

SECTION II: List cryptonym or pseudonym, if assigned. If true name is sensitive, obtain 201 number from 201 Control Desk and complete Section I and Section III. On a separate form, enter the 201 number and complete Section II and Section III. Submit each form separately.

SECTION III: To be completed in all cases.

SECTION I

SENSITIVE / X NONSENSITIVE

201 NO.: 191098

SOURCE DOCUMENT: DBF-32269

NAME (Last) (First) (Middle) (Title): FRANK, RICHARD CYRIL (MRS.)

SEX: F

NAME VARIANT

TYPE NAME	(Last)	(First)	(Middle)	(Title)
A	WARREN	SUE		
A	FRANK	SUSAN	HEILIGMAN	

DESTROYED UPON RECEIPT OF MACHINE LISTING

PHOTO: YES / X NO

BIRTH DATE: 05 11 13

COUNTRY OF BIRTH: USA

CITY OR TOWN OF BIRTH: MANHATTAN, NYC.

OTHER IDENTIFICATION: 1. 58 2. 3.

OCCUPATION/POSITION: HOUSEWIFE

OCC/POS. CODE:

SECTION II

CRYPTONYM:

PSEUDONYM:

SECTION III

COUNTRY OF RESIDENCE: USA

ACTION DESK: CI/ICD

SECOND COUNTRY INTEREST: UK

THIRD COUNTRY INTEREST:

COMMENTS: Cit: USA.
Husband: FRANK, RICHARD CYRIL DOB 22/08/22 POB: Rochester, N.Y.
Passport # 42431. Renewed Aug 58. Would not answer questions relating to past or present membership in CP. Subject had been member of CP(NY) but denied ... ... of husband's ... Tonton with CBS TV program, "CONQUEST". Apt 30, 110 Christopher St. NYC.
Communist Party Member suspect.

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201- 191098

PERMANENT CHARGE: YES / NO

RESTRICTED FILE: YES / NO

SIGNATURE: [signature]

Form No. 831 1 Oct. 56 Use previous editions. (88)

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PERSONALITY (201) FILE REQUEST

TO: RI/ANALYSIS SECTION

DATE: 9 - AUG 1957

ACTION: [X] OPEN [ ] AMEND [ ] CLOSE

FROM: P Cuffee

ROOM NO.: 1306K

TELEPHONE: 2015

INSTRUCTIONS: Form must be typed or printed in block letters.

SECTION I: List 201 number, name and identifying data in the spaces provided. All known aliases and variants (including maiden name, if applicable) must be listed. If the identifying data varies with the alias used, a separate form must be used. Write UNKNOWN for items you are unable to complete.

SECTION II: List cryptonym or pseudonym, if assigned. If true name is sensitive, obtain 201 number from 201 Control Desk and complete Section I and Section III. On a separate form, enter the 201 number and complete Section II and Section III. Submit each form separately.

SECTION III: To be completed in all cases.

SECTION I

[ ] SENSITIVE [X] NONSENSITIVE

201 NO.: 191098

SOURCE DOCUMENT: DFB 87404

NAME (Last)	(First)	(Middle)	(Title)	SEX 3.
FRANK	HEILIGMAN	SUSAN		M [ ] F [X]

NAME VARIANT

TYPE NAME 2.	(Last)	(First)	(Middle)	(Title)
A	WARREN	SUSAN	M	
A	FRANK	RICHARD	C	(MAU)
M	HEILIGMAN	SUSAN	MILDRED	
A	KANE	ANNA	MAY	

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PHOTO 4.	BIRTH DATE 5.	COUNTRY OF BIRTH 6.	CITY OR TOWN OF BIRTH 7.	OTHER IDENTIFICATION 8.
YES [ ] NO [X]	D M Y			1. CA 2. 3.

OCCUPATION/POSITION:

OCC/POS. CODE 9.:

SECTION II

CRYPTONYM:

PSEUDONYM:

SECTION III

COUNTRY OF RESIDENCE 10.	ACTION DESK 11.	SECOND COUNTRY INTEREST 12.	THIRD COUNTRY INTEREST 12a.
USA	CI		

COMMENTS:

201- 191098

PERMANENT CHARGE	RESTRICTED FILE	SIGNATURE
YES [/] NO [ ]	YES [ ] NO [X]	P Cuffee

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PERSONALITY (201) FILE REQUEST — RE PUNCH

TO	DATE	ACTION
RI/ANALYSIS SECTION	13-03-59	OPEN / X AMEND / CLOSE
FROM: RID/201	ROOM NO. 1619 L	TELEPHONE 2677

INSTRUCTIONS: Form must be typed or printed in block letters.
SECTION I: List 201 number, name and identifying data in the spaces provided. All known aliases and variants (including maiden name, if applicable) must be listed. If the identifying data varies with the alias used, a separate form must be used. Write UNKNOWN for items you are unable to complete.
SECTION II: List cryptonym or pseudonym, if assigned. If true name is sensitive, obtain 201 number from 201 Control Desk and complete Section I and Section III. On a separate form, enter the 201 number and complete Section II and Section III. Submit each form separately.
SECTION III: To be completed in all cases.

SECTION I

201 NO.	SOURCE DOCUMENT
191098	DBF-19795

NAME: FRANK, SUSAN HEILIGMAN

NAME VARIANT

TYPE NAME	(Last)	(First)	(Middle)	(Title)
V	BREITBERG,	MILDRED	H.	
V	BREITBERG,	LOUIS		/MRS./
V	FRANK,	RICHARD	C.	/MRS./
V	KANE,	ANNA	MAY	
V	WARREN,	SUSAN	M.	
V	WARREN,	BRETT		/MRS/

PHOTO	BIRTH DATE	COUNTRY OF BIRTH	CITY OR TOWN OF BIRTH	OTHER IDENTIFICATION
YES / NO	08 11 13	USA	MANHATTAN, NYC.	1. CL 2. 3.

OCCUPATION/POSITION: Housewife
OCC/POS. CODE:

SECTION II

CRYPTONYM	PSEUDONYM

SECTION III

COUNTRY OF RESIDENCE	ACTION DESK	SECOND COUNTRY INTEREST	THIRD COUNTRY INTEREST
USA	CI/ICD		

COMMENTS:
CIT: USA
In contact with Communist party members in 1958
Residence Nov. 1958, 110 Christopher

UNCTHED

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201- 191098

PERMANENT CHARGE	RESTRICTED FILE	SIGNATURE
YES / NO	YES / NO	EMR

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PERSONALITY (201) FILE REQUEST

TO: RI/ANALYSIS SECTION | DATE | ACTION: OPEN / AMEND / CLOSE

FROM | ROOM NO. | TELEPHONE

INSTRUCTIONS: Form must be typed or printed in block letters.

SECTION I: List 201 number, name and identifying data in the spaces provided. All known aliases and variants (including maiden name, if applicable) must be listed. If the identifying data varies with the alias used, a separate form must be used. Write UNKNOWN for items you are unable to complete.

SECTION II: List cryptonym or pseudonym, if assigned. If true name is sensitive, obtain 201 number from 201 Control Desk and complete Section I and Section III. On a separate form, enter the 201 number and complete Section II and Section III. Submit each form separately.

SECTION III: To be completed in all cases.

SECTION I

SENSITIVE / NONSENSITIVE | 201 NO. 1. | SOURCE DOCUMENT

NAME (Last) (First) (Middle) (Title) | SEX 3. M F

NAME VARIANT

TYPE NAME 2.	(Last)	(First)	(Middle)	(Title)
M	HEILIGMAN,	SUSAN	MILDRED	
~~V~~	~~HEILIGMAN,~~	~~MILDRED~~	~~S.~~	

DESTROYED TO BE DESTROYED. COPY OF MACHINE LISTING

PHOTO 4. YES NO | BIRTH DATE 5. D M Y | COUNTRY OF BIRTH 6. | CITY OR TOWN OF BIRTH 7. | OTHER IDENTIFICATION 8. 1. 2. 3.

OCCUPATION/POSITION | OCC/POS. CODE 9.

SECTION II

CRYPTONYM | PSEUDONYM

SECTION III

COUNTRY OF RESIDENCE 10. | ACTION DESK 11. | SECOND COUNTRY INTEREST 12. | THIRD COUNTRY INTEREST 12a.

COMMENTS:

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201- 191098

PERMANENT CHARGE YES NO | RESTRICTED FILE YES NO | SIGNATURE

VERIFIED

Form No. 1 Oct. 64 831 Use previous editions. (35)

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